MUTUAL FUND
CLIENT ACKNOWLEDGEMENT FORM

Receipt of Prospectus - Initial 1 of the 3 line items

______ I acknowledge receiving a prospectus from ________________________________ (Representative Name) for ____ Dated _______________.

______ I expect to receive a prospectus from NFS and if I do not, by the time I receive my confirmation, I will contact my Representative.

______ I have personally downloaded a prospectus, dated _______________ from the financial company website.

Please initial where applicable to indicate that you have reviewed and discussed each relevant topic. Please write N/A if not applicable.

______ My investment goals, risk tolerance, need for liquidity and the overall suitability of this investment, in light of my stated goals and financial resources, which I have disclosed in the **LifeMark Securities Corp. New Account Form and Suitability Questionnaire.**

______ This Mutual Fund has the following shares classes: ______________________, and the advantages and disadvantages of each have been explained to my satisfaction.

______ If this is a purchase for a share class other than ñAò, I received the following **FINRA Investor Alerts: "Class B Mutual Fund Shares: Do They Make the Grade?", "Understanding Mutual Fund Classes", "Mutual Fund Breakpoints: A Break Worth Taking."**

______ Listed are Mutual Fund families that I currently have investments with and approximate dollar amounts:

____ $________________

____ $________________

______ I understand that by utilizing a **Letter of Intent** to purchase additional Mutual Fund shares within 13 months, I may realize a reduction in sales charges on this purchase.

______ I understand that by purchasing additional Mutual Funds within the same family of funds, I may realize reduced sales charges, as a result of **Rights of Accumulation** and **Breakpoints** that may exist within the fund family.

______ If the source of funding for this investment is coming from liquidating another investment, I have received the **LifeMark Securities Corp. Replacement Letter** and have completed the **LifeMark Securities Corp. Replacement Worksheet.**

______ If the proceeds for this investment are coming from a maturing CD, I understand that I am engaging in a transaction involving a higher degree of risk and that **there is no guarantee against loss of principle of my investments.**

______ If purchasing Mutual Funds within an ERISA sponsored qualified plan, I acknowledge receiving the **LifeMark Securities Corp. Disclosure to Qualified Plans.**

______ I acknowledge that the representative has provided me with the **LifeMark Securities Corp. Privacy Policy** and the **FINRA Customer Identification Program Notice.**

______ **Other** (please use back as needed) ____

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Have you, within the past 12 months, bought or sold another mutual fund? ___ YES ___ NO

If Yes, please indicate: name of fund ________________________ date of transaction ___/___/___

Pursuant to FINRA Rule 2111 (Suitability), LifeMark Securities must have a reasonable basis for believing that a recommended transaction or strategy is suitable in light of information obtained from you. We rely on the accuracy of information you provided in the LifeMark New Account Form and Suitability Questionnaire. **It is important that you review that information periodically and inform your representative of any changes in your financial situation or goals and objectives.**

Owner/Owners Signature ____ Date ________________________

Representative's Signature ____ Date ________________________